## The University of Southern Mississippi

## EXPORT CONTROL REVIEW PROJECT DIRECTOR/PRINCIPAL INVESTIGATOR CERTIFICATION Office of the VP for Research & Economic Development

The University of Southern Mississippi has determined that the terms and conditions relating to the sponsored research project entitled:

requires a technical review by the Capld thassembly, ddistication epder militaritant ancep operating or use of items with military application. Export controlled information does not include information which is basic marketing information on function or purpose, general system descriptions, or information concerning general scientific, mathematical or engineering principals commonly taught in schools, colleges and universities, or information in the public domain. (Additional definitions are provided below). As part of the administrative process for submitting the above referenced research contract, you will need to provide clear and concise answers to the questions listed below.

Prior to responding, you will need to review the general overview of your obligations under export laws and regulations. Definitions relating to export controlled information are provided below for your convenience. In addition, you may have to review descriptions of technologies that are controlled by the International Traffic in Arms Regulations (ITAR) 22 CFR Section 121.1 et seq., accessible at http://pmddtc.state.gov/docs/ITAR/2007/official\_itar/ITAR\_Part\_121.pdf

and/or the Export Administration Regulations (EAR) 15 CFR Section 774, Supp. 1, (Categories 0-9) accessible at <u>http://www.access.gpo.gov/bis/ear/ear\_data.html</u> and decide if you believe the technology involved in this particular project falls within one or more of the categories

## **General Overview**

It is unlawful under export laws and regulations to send or take export controlled information out of the United States or to disclose, orally or visually, or to transfer export controlled ination to eign nationals inside or outside the United States. A "ign person" is one who is not a U.S. citizen or permanent resident alien of the U.S.

Researchers may be personally liable for violations of Reseaoun0060vf

the research results.

4. <u>However, the initial transfer of information from a business entity to researchers</u> <u>is not authorized under the "fundamental research" provision where the parties</u> have agreed that the bus 2. Check one box, as applicable: Does the research or instruction involve basic, fundamental research which can be found in the public domain?

Yes. Briefly provide location or example as to where the research or instruction can be found in the public domain in the space provided below.

] No. Answer question 3.

3. If the research is not in the public domain:

3a. Will any materials, technology, information, or software be generated from this project that is intended for military applications or, if intended for civilian purposes, could have a military application?

- Yes, please answer questions 3b and 3c.
- No, please proceed to question 4.

3b. If 3a is YES, then answer: Do you anticipate that military-related research information or results will be shared before publication?

☐ Yes ☐ No

3c. If 3a is YES, then answer: If there is potential military application, does this include the potential for future arms or munitions sales or defense services?

Yes
No

4. If this activity involves the People's Republic

- 5. If new technology is developed, will the results be published?
  - □ Yes □ No
- 6. Will the foreign sponsor or foreign national (i

Project Director/Principal Investigator Certification	
I hereby certify that I have read and understand the information provided regarding compliance with export laws and regulations. I understand that I could be personally liable if I unlawfully disclose export controlled information to foreign nationals without prior approval. I have provided complete information in responding to the questions listed above.	
Signature	(Signature may not be delegated. The Project Director/ Principal Researcher for the project must sign).
Printed Name	
Department	Date

If necessary, the Office of the VP for Research and Economic Development/Export Control will contact you for additional information to complete the export review process. In the event an export license is required, the Export Control Office will submit an application for a license to the appropriate government entity.